

Journal Pre-proof

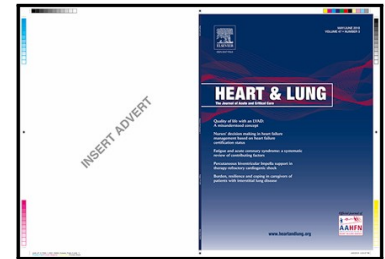
Flattening the Disability Curve: Rehabilitation and Recovery after COVID-19 Infection

Jason R. Falvey PT, DPT, PhD , Lauren E. Ferrante M.D., M.H.S.

PII: S0147-9563(20)30162-X
DOI: <https://doi.org/10.1016/j.hrtlng.2020.05.001>
Reference: YMHL 1649

To appear in: *Heart & Lung*

Please cite this article as: Jason R. Falvey PT, DPT, PhD , Lauren E. Ferrante M.D., M.H.S. , Flattening the Disability Curve: Rehabilitation and Recovery after COVID-19 Infection, *Heart & Lung* (2020), doi: <https://doi.org/10.1016/j.hrtlng.2020.05.001>



This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Elsevier Inc. All rights reserved.

Flattening the Disability Curve: Rehabilitation and Recovery after COVID-19 Infection

Jason R. Falvey, PT, DPT, PhD¹, and Lauren E. Ferrante, M.D., M.H.S.²

¹Section of Geriatrics; ²Section of Pulmonary, Critical Care, and Sleep Medicine;
Department of Internal Medicine, Yale School of Medicine, New Haven, CT

Corresponding author information:

Lauren E. Ferrante

lauren.ferrante@yale.edu

The coronavirus-19 (COVID-19) pandemic has led to a surge of hospitalizations, many of which have required prolonged intensive care unit (ICU) stays and mechanical ventilation.^{1,2} While considerable attention has been paid to survival rates among the rapidly increasing population of patients infected with COVID-19, a second crisis is emerging—the challenge of managing the high disability burden associated with ICU survivorship.³ ICU survivors, especially those who are mechanically ventilated, often suffer from new or worsening impairments in physical function, cognitive function, and/or emotional health collectively known as post-intensive care syndrome (PICS).⁴⁻⁶ These deficits may persist for months or years after a critical illness, and have substantial impact on outcomes important to patients such as quality of life, return to work⁷, and disability in activities of daily living such as bathing or walking.^{8,9} Patients infected with COVID-19 often require stays of 10 or more days in the intensive care unit², and many experience acute respiratory distress syndrome requiring mechanical ventilation, which usually requires sedation, and sometimes, neuromuscular blockade.^{1,10} Taken together, these factors are likely to increase the burden of PICS among COVID-19 survivors; indeed, recent estimates indicate at least 40% of COVID-19 survivors have prolonged and significant neurological deficits such as fatigue or weakness after hospital discharge.¹¹

The Awakening and Breathing Coordination, Delirium monitoring/management and Early exercise/mobility (ABCDE) bundle is critical to reducing the adverse consequences of critical illness.¹² The early exercise and mobility component of this bundle is especially important in ameliorating the negative impact of ICU stays on physical function. Yet, use of rehabilitation services within many ICUs has substantially decreased to preserve dwindling supplies of personal protective equipment (PPE) and protect rehabilitation staff from prolonged exposure in close proximity to infected patients. Movement is medicine for patients in the ICU, and being unable to provide this critical treatment for vulnerable patients is likely to negatively impact recovery.

Once patients are well enough to leave the hospital, rehabilitation evaluations and necessary treatment are recommended as part of the standard of care. Yet, surges in COVID-19 hospitalizations also substantially strain provision of rehabilitation in post-acute and outpatient settings as well. Post-acute care facilities, such as skilled nursing facilities or rehabilitation hospitals, often operate at close to maximal capacity with little ability to handle sharp increases in patient volume, especially medically complex patients discharging after COVID-19

infections.³ Even if a patient can be admitted to a skilled nursing facility, shortages of personal protective equipment within nursing homes (which are often even more acute than hospital shortages) and rules in some nursing homes defining rehab staff as non-essential personnel may negatively impact the amount and intensity of physical and occupational therapy delivered.¹³

While discharge home is often an optimal outcome after hospitalization, and perhaps more preferential, current treatment paradigms in home health care settings may not provide optimal support for COVID-19 survivors. Intensity of rehabilitation varies within agencies, but recent research indicates that at least 1 in 3 patients do not receive any rehabilitation after an ICU stay and those who do receive a small number of visits.¹⁴ Home health agencies are not currently staffed to handle a large increase in patient volume, especially patients who are using home health care as a substitute for more intensive rehabilitation settings. In addition, outpatient rehabilitation clinics have largely closed, further complicating rehabilitation access.

Faced with these challenges, how can we improve outcomes for COVID-19 survivors in the current healthcare environment? Beyond obvious solutions, such as increasing staffing and PPE availability within hospital and post-acute care settings, there are two additional strategies which could help improve disability outcomes for COVID-19 survivors.

1) Increase use of tele-rehabilitation during the hospital stay

Among the strategies with the largest potential for immediate benefit is increasing use of tele-rehabilitation interventions both within the ICU and following ICU discharge. Using available telehealth technology in patient rooms may allow physical therapists to participate in patient care without donning scarce PPE resources. For patients who are conscious and have volitional movement, therapists could teach a safe bed or chair-based exercise and mobility program to reduce deconditioning. For those who are sedated, therapists could virtually guide nursing staff on integrating early mobilization principles into tasks already taking place in the room. While an imperfect solution, it may help reduce hospital-acquired disability during an ICU stay.

Once patients discharge out of the ICU to the floor, telerehabilitation strategies could be continued. Virtual rehabilitation programs, which guide exercise and track movement with remote sensors, have been used for post-surgical recovery and could be re-purposed for in-room exercise progression for patients with COVID-19 to reduce the number of entries from rehabilitation staff during exercise sessions. These basic strategies could improve overall physical activity during hospitalization more than exercise handouts or other passive exercise strategies.

2) Consider developing rehabilitation at home models for COVID-19 survivors after discharge

Because of infection control concerns at nursing homes, there is growing interest in shifting rehabilitation into the home health setting.³ These rehabilitation-at-home models, which could utilize increased availability from physical therapists in community clinics which have closed, may be a win-win—helping reduce disability burden while simultaneously limiting COVID-19 exposures to high risk older patients in rehabilitation facilities. Therapists can provide exercise

equipment to patients from clinics for use during the entirety of rehabilitation stays, which limits cross-contamination concerns from therapy gyms and allows therapy to be carried out with greater intensity. Because Medicare allows clinic-based physical therapists to bill for care provided at home to any patient, this is a solution which is quickly actionable and may preserve PPE to a greater extent than daily therapy in a rehabilitation facility.

Managing functional impairments associated with COVID-19 will require a concerted effort by clinicians across the continuum of care. We recommended making assessment and management of physical function an integral part of COVID-19 management, and integrating rehabilitation assessment and treatment care in innovative ways for all COVID-19 patients in the hospital and after discharge, virtually or face-to face as PPE supplies allow. It is important to remember that the needs of COVID-19 survivors do not end at the time of hospital discharge. By proactively planning for the rehabilitation needs of this population, we can all help flatten the disability curve for COVID-19 survivors.

References

1. Arentz M, Yim E, Klaff L, et al. Characteristics and Outcomes of 21 Critically Ill Patients With COVID-19 in Washington State. *JAMA*. 2020.
2. Grasselli G, Zangrillo A, Zanella A, et al. Baseline Characteristics and Outcomes of 1591 Patients Infected With SARS-CoV-2 Admitted to ICUs of the Lombardy Region, Italy. *JAMA*. 2020.
3. Grabowski DC, Maddox KEJ. Postacute Care Preparedness for COVID-19: Thinking Ahead. *JAMA*.
4. Elliott D, Davidson JE, Harvey MA, et al. Exploring the scope of post-intensive care syndrome therapy and care: engagement of non-critical care providers and survivors in a second stakeholders meeting. *Critical care medicine*. 2014;42(12):2518-2526.
5. Harvey MA, Davidson JE. Postintensive care syndrome: right care, right now... and later. *Critical care medicine*. 2016;44(2):381-385.
6. Ohtake PJ, Lee AC, Scott JC, et al. Physical Impairments Associated With Post-Intensive Care Syndrome: Systematic Review Based on the World Health Organization's International Classification of Functioning, Disability and Health Framework. *Physical therapy*. 2018;98(8):631-645.
7. Kamdar BB, Suri R, Suchyta MR, et al. Return to work after critical illness: a systematic review and meta-analysis. *Thorax*. 2020;75(1):17-27.
8. Ferrante LE, Pisani MA, Murphy TE, Gahbauer EA, Leo-Summers LS, Gill TM. Functional trajectories among older persons before and after critical illness. *JAMA internal medicine*. 2015;175(4):523-529.
9. Herridge MS, Tansey CM, Matté A, et al. Functional disability 5 years after acute respiratory distress syndrome. *New England Journal of Medicine*. 2011;364(14):1293-1304.
10. Richardson S, Hirsch JS, Narasimhan M, et al. Presenting Characteristics, Comorbidities, and Outcomes Among 5700 Patients Hospitalized With COVID-19 in the New York City Area. *JAMA*. 2020.
11. Mao L, Jin H, Wang M, et al. Neurologic Manifestations of Hospitalized Patients With Coronavirus Disease 2019 in Wuhan, China. *JAMA Neurology*. 2020.
12. Marra A, Ely EW, Pandharipande PP, Patel MB. The ABCDEF Bundle in Critical Care. *Crit Care Clin*. 2017;33(2):225-243.
13. Falvey JR, Krafft C, Kornetti D. The Essential Role of Home- and Community-Based Physical Therapists During the COVID-19 Pandemic. *Physical Therapy*. 2020.
14. Falvey JR, Murphy TE, Gill TM, Stevens-Lapsley JE, Ferrante LE. Home Health Rehabilitation Utilization Among Medicare Beneficiaries Following Critical Illness. *Journal of the American Geriatrics Society*. 2020.